

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>01028US01</b>
		U.S. Application No. <b>New Application 10/552199</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/003580</b>	INTERNATIONAL FILING DATE <b>April 5, 2004</b>	PRIORITY DATE CLAIMED <b>April 11, 2003</b>
TITLE OF INVENTION <b>OPTICAL FILMS COMPRISING ONE OR MORE POLYARYLATES OBTAINED FROM SPECIFIC PHENOLIC MOLECULES</b>		
APPLICANT(S) FOR DO/EO/US <b>Simone Angiolini and Mauro Avidano</b>		
Applicant Herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li><li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li><li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li><li>9. <input checked="" type="checkbox"/> An unsigned oath or declaration of the inventor(s) (35 U.S.C. 37(c)(4)).</li><li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol>		
Items 11 to 20 below concern documents or information included:		
<ol style="list-style-type: none"><li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input type="checkbox"/> A preliminary amendment.</li><li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li><li>15. <input type="checkbox"/> A substitute specification.</li><li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li><li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li><li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li><li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li></ol>		

Our Reference 01028US01

U.S. APPLICATION NO. (If known see 37CFR 1.5) <b>New Application 0/552199</b>		INTERNATIONAL APPLICATION NO. <b>PCT/ EP2004/003580</b>		ATTORNEY DOCKET NUMBER <b>01028US01</b>	
20. Other items or information: A copy of the International Application Publication Cover Sheet (2 pages). A copy of the International Search Report (ISA/EPO), a copy of the letter to the WIPO indicating name change of Assignee.					
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) .....				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 All other situations..... \$200				\$ 200.00	
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the International Application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500				\$ 400.00	
<b>TOTAL OF 21, 22 AND 23 =</b>				<b>\$ 900.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.82(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.49(j)) The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				\$ 0.00	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
21 -100=	/50=		x \$250		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national state (37 CFR 1.49(h)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	7 - 20 =		x \$50	\$ 0.00	
Independent Claims	1 - 3 =		x \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			x \$360	\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 0.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37CFR 1.27 Fees above are reduced by 1/2.					
<b>SUBTOTAL =</b>				<b>\$ 0.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.49(i)).				\$ 0.00	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1030.00</b>	
Fee for recording the enclosed assignment (37CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1030.00</b>	
				Amount to be refunded:	\$
				Amount to charged:	\$

JC09 Rec'd PCT/PTO 16 OCT 2005

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my **Deposit Account No. 50-1391** in the amount of **\$1030.00** to cover the above fees.  
☒ A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to **Deposit Account No. 50-1391**.  
☒ A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charges to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

## SEND ALL CORRESPONDENCE TO:

**MARK A. LITMAN & ASSOCIATES, P.A.**  
York Business Center, Suite 205  
3209 W. 76<sup>th</sup> Street, Edina, MN 55435

By: \_\_\_\_\_

*Mark A. Litman*  
Attorney: Mark A. Litman  
Registration No.: 26,390

## CERTIFICATE UNDER 37 C.F.R. 1.10:

'Express Mail' mailing number: EV512056500US  
Date of Deposit: 6 October 2005

The undersigned hereby certifies that this Transmittal Letter and the paper or fee, as described herein, are being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313.

By: \_\_\_\_\_

MARK A. LITMAN

Ferrania, September 5, 2005

VIA FAX  
0041 22 338 8270  
TOTAL PAGE(S) : 1  
CONFIRMATION : NO

International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20  
Switzerland

Re: International Application No. PCT/EP2004/003580  
International Filing Date : 05 April 2004  
Title : Optical films comprising one or more polyarylate obtained from specific phenolic molecules  
Priority Data : SV2003A000019 ; 11 April 2003  
Appl. Ref. : 01028WO01

Request of change of applicant name under Rule 92bis PCT

The International Bureau is respectfully requested to record the change of the name and address of the applicant appearing on the request of the subject international application from

Ferrania S.p.A., Viale della Libertà, 57, 17014 Ferrania/Cairo Montenotte (SV), Italy

to

**Ferrania Technologies S.p.A., Viale della Libertà, 57, 17014 Ferrania/Cairo Montenotte (SV), Italy**

For any further communication please contact the undersigned at the address appearing on the request.

Yours very truly.

  
Roberto Allaix

**Ferrania**  
Imaging Technologies

[www.ferrania.it.com](http://www.ferrania.it.com)

Ferrania S.p.A.

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